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An in vitro genotoxicity assessment for next generation nicotine delivery products

30-11-2020

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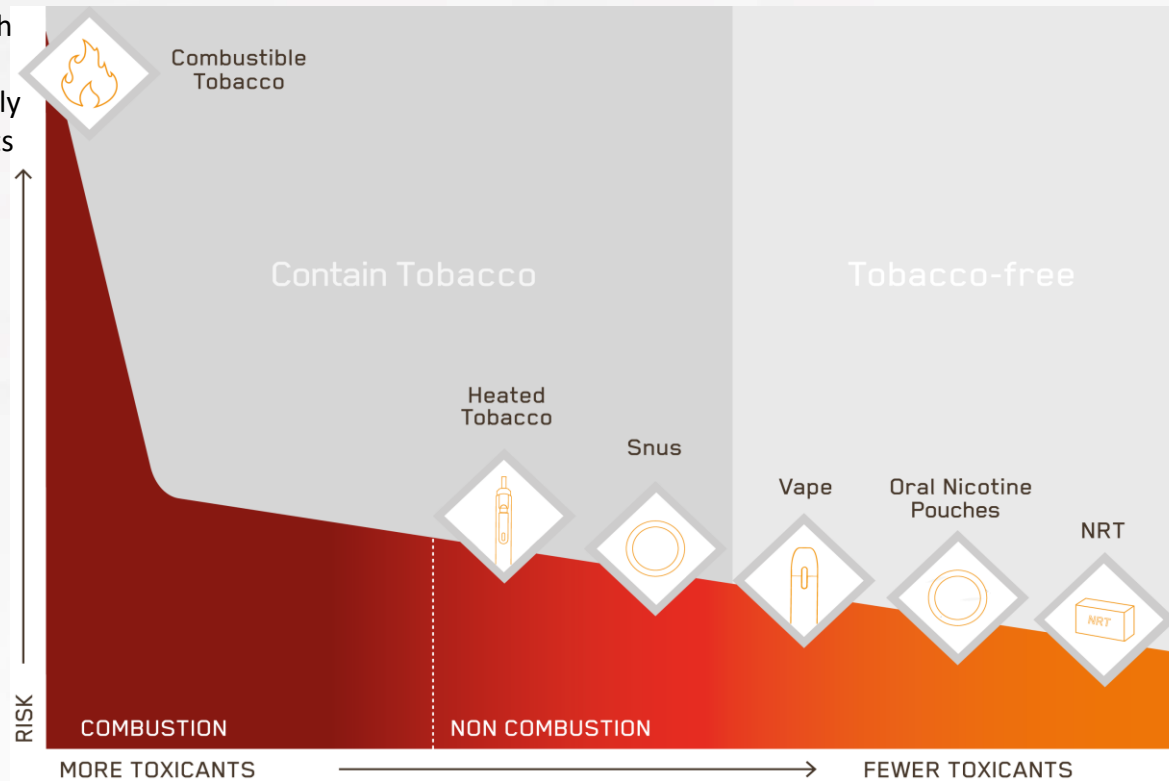
Presentation outline

- An introduction to next generation products (NGPs)
- *In vitro* product assessment framework overview
- *In vitro* exposure methods with cigarettes/ NGPs
- Case study: Application of (experimental) electronic vapour product/ cigarette-derived samples in the ToxTracker assay

Next generation products

- Next generation products (NGPs) offer a means of potentially reduced harm nicotine delivery to adult smokers
- Categories include electronic vapour devices (EVPs), heated tobacco products (HTPs) and oral nicotine pouches

>7000 chemicals in cigarette smoke, around 100 of which are classified as harmful or potentially harmful constituents (HPHCs)



- HTP: reconstituted tobacco stick heated (but not burned) to produce nicotine-containing aerosol
- Snus: oral resting (between gum and top lip) products containing tobacco
- EVP: (flavoured) e-liquid (base constituents propylene glycol (PG) + vegetable glycerine (VG)) heated to produce vapour (containing nicotine or nicotine free)
- Oral nicotine pouches: tobacco-free oral resting pouches
- NRT: nicotine replacement therapies (e.g., lozenges)
- Test samples generated from these are complex chemical mixtures

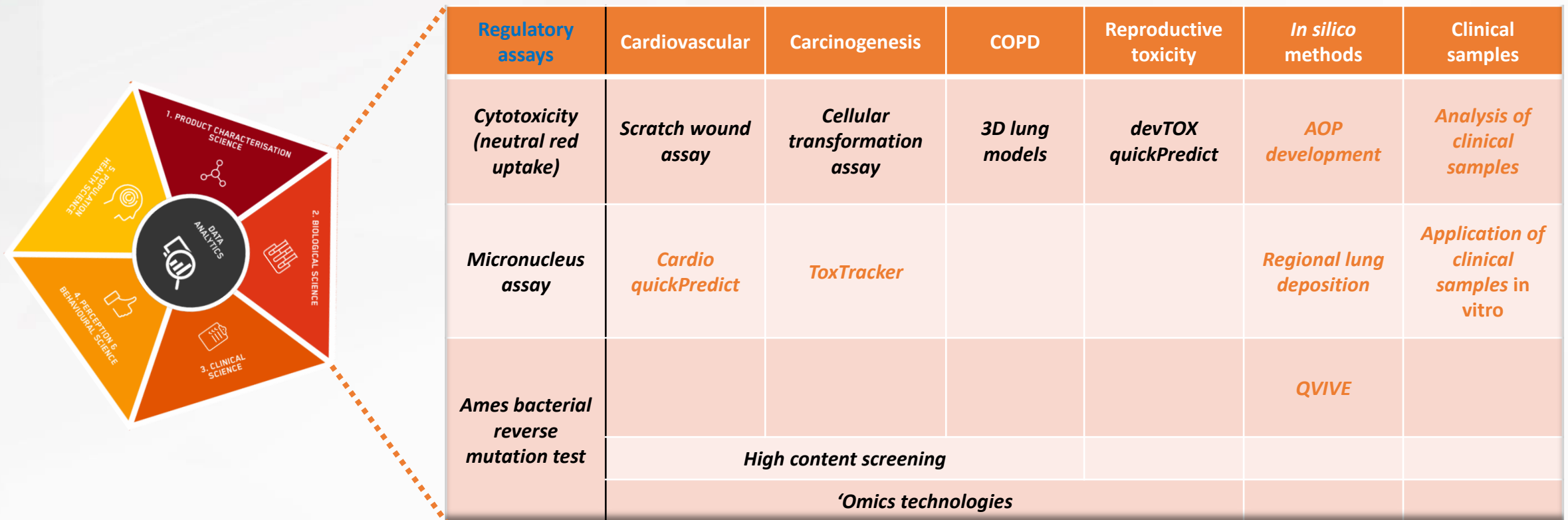


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Background: *in vitro* toxicity testing framework

- The *in vitro* assays used avoid the need, and act as a good surrogate, for animal testing
- The assays also utilise human-derived cells wherever possible
- The *in vitro* toxicity testing framework is a combination of established regulatory toxicity assays and newer methodologies



Available tools but not yet routinely used
Routinely used



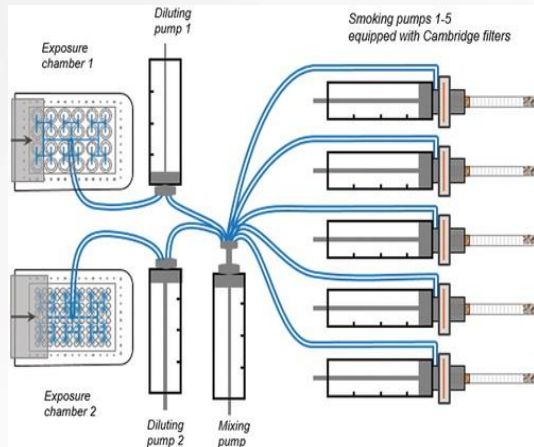
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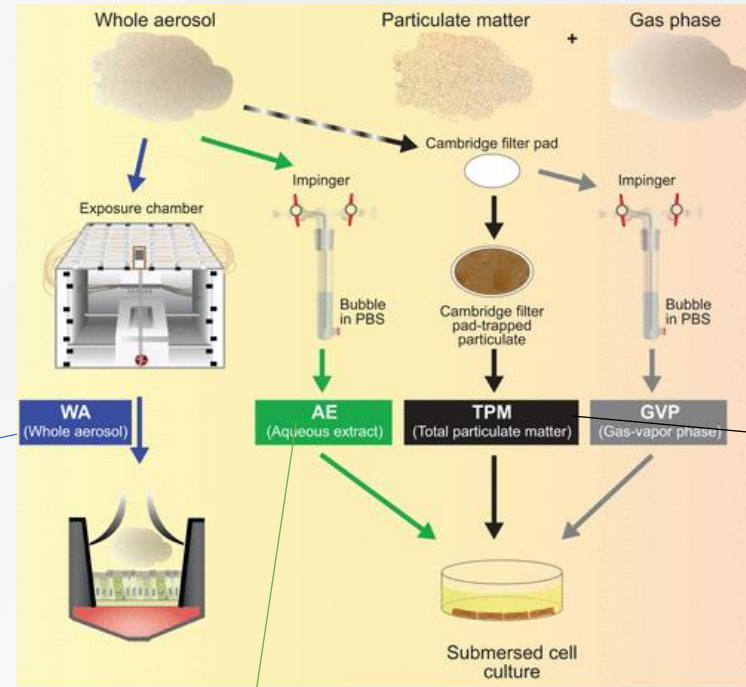
Methods for smoke/ aerosol exposure *in vitro*

Smoke/ Aerosol Exposure *In Vitro* System (SAEIVS)

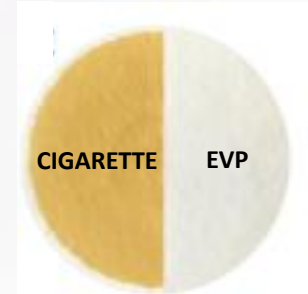
- Achieves exposure to **fresh** smoke/ aerosol at the air-liquid interface
- Most human relevant *in vitro* smoke/ aerosol exposure scenario
- Not practical for submerged samples



Rudd et al., 2020



Boué et al., 2020



Smoke/ aerosol trapping on Cambridge filter pads

- Includes **lipophilic fraction**
- Requires suitable solvent (e.g., DMSO) for addition to aqueous *in vitro* systems

Aqueous bubbling

- Can bubble **fresh** smoke/ aerosol through bacterial cultures
- Can bubble through PBS or medium and add to aqueous *in vitro* systems (can be stored frozen, used for several tests and shipped to between laboratories) – **aqueous soluble fraction**



E-liquids can also be added directly to aqueous medium

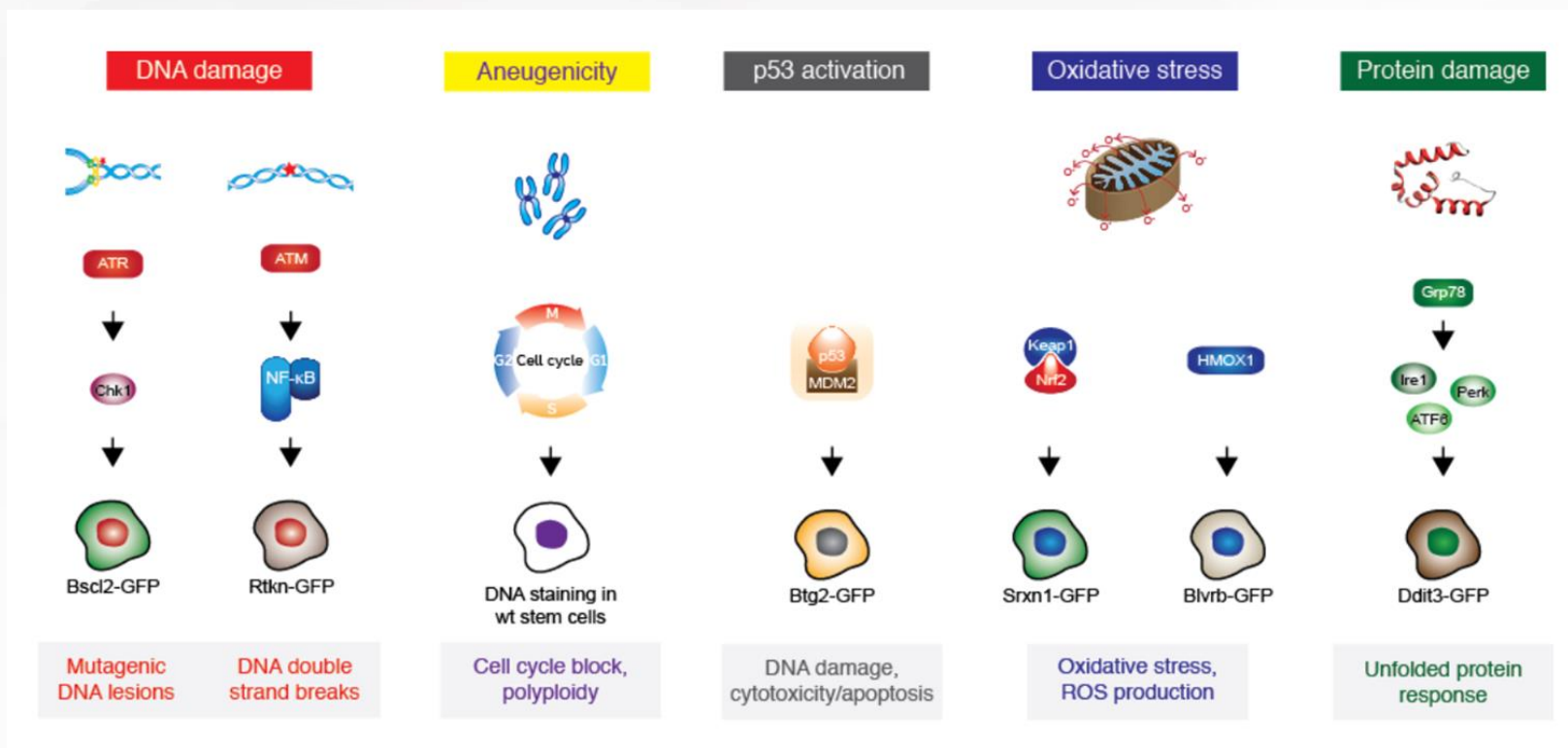


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Application of EVP/ cigarette-derived samples in the ToxTracker assay

- Aim: to study the effects of EVP and 1R6F reference cigarette smoke-derived samples in the ToxTracker assay
- ToxTracker assay: mouse embryonic stem cells modified with bacterial artificial chromosome recombineering, producing 6 green-fluorescent protein (GFP) reporter cell lines
- Cells in submerged culture
 - Cells exposed to experimental e-liquids (neat or aerosol bPBS) and 1R6F smoke (TPM or smoke bPBS) (24h; +/-S9)



Toxys, accessed online, 11-2020



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Test articles

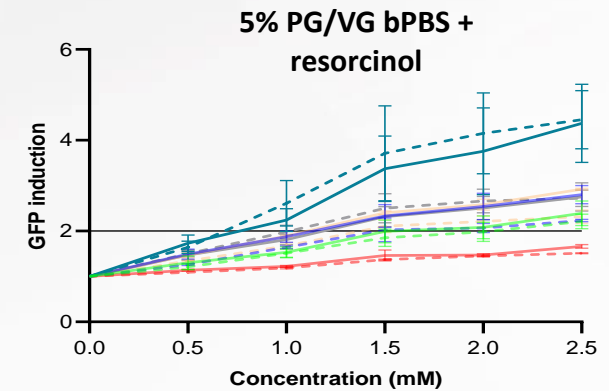
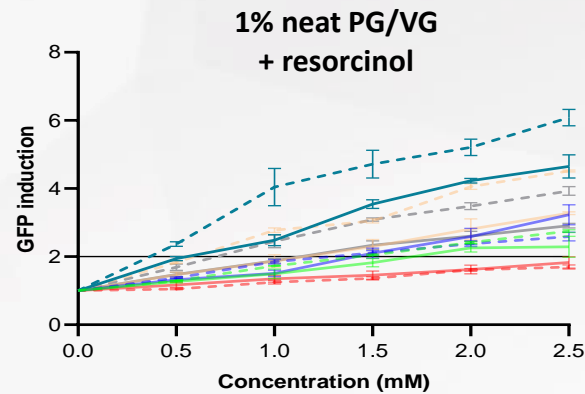
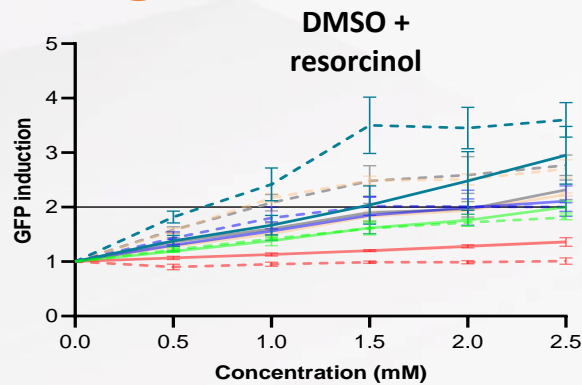
| | Test article | Description | Max. tested concentration (%) |
|---------|--------------------|---|-------------------------------|
| Neat | EVP-neat-NS-TF | Neat e-liquid base containing 1.6% nicotine salt and tobacco flavouring | 1 |
| | EVP-neat-FB-TF | Neat e-liquid base containing 1.6% freebase nicotine and tobacco flavouring | 1 |
| | EVP-neat-TF | Neat e-liquid base containing 0% nicotine and tobacco flavouring | 1 |
| | EVP-neat-1:1 PG:VG | Neat e-liquid base, 1:1 PG and VG only | 1 |
| Aqueous | EVP-bPBS-NS-TF | PBS-bubbled aerosol of e-liquid base containing 1.6% nicotine salt and tobacco flavouring | 10 |
| | EVP-bPBS-FB-TF | PBS-bubbled aerosol of e-liquid base containing 1.6% freebase nicotine and tobacco flavouring | 10 |
| | EVP-bPBS-TF | PBS-bubbled aerosol of e-liquid base containing 0% nicotine and tobacco flavouring | 10 |
| | EVP-bPBS-1:1 PG:VG | PBS-bubbled aerosol of e-liquid base containing 1:1 PG and VG only | 5 |
| | 1R6F-bPBS | PBS bubbled smoke of the 1R6F reference cigarette | 10 |
| TPM | 1R6F-TPM | DMSO extract of cigarette smoke trapped in a filter pad | 1 |

- 24h exposures
- + or – S9 (metabolism)

Neat = 100% e-liquid stock



Does PG/VG base liquid interfere with responses to additional ingredients?



- Positive control compounds (resorcinol, vinblastine, B[a]P) spiked into system to test for the effects of combination with PG/VG base e-liquid components
- Positive compounds added to cells
 - + DMSO
 - + 1% 1:1 neat PG:VG
 - + 5% 1:1 PG:VG aerosol bPBS
- No significant differences in the responses observed in each of the three vehicles

Resorcinol:

- DNA damage
- Oxidative stress
- p53 related response

| Endpoint | Marker |
|----------------------|---------------|
| DNA damage | --- Bcl2 -S9 |
| | --- Bcl2 +S9 |
| | --- Rtkn -S9 |
| p53 activation | --- Btg2 -S9 |
| | --- Btg2 +S9 |
| | --- Srtn1 -S9 |
| Oxidative stress | --- Srtn1 +S9 |
| | --- Blvr -S9 |
| | --- Blvr +S9 |
| Protein damage | --- Ddit3 -S9 |
| | --- Ddit3 +S9 |
| — Positive induction | |

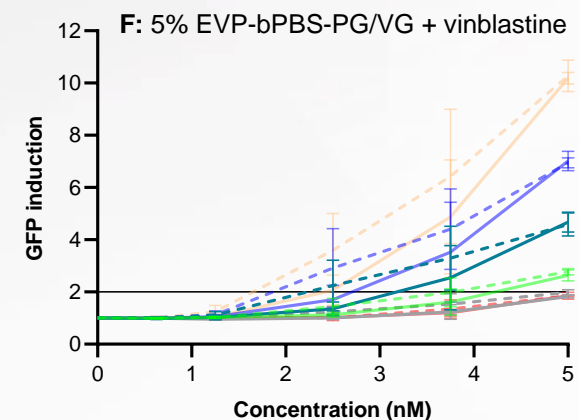
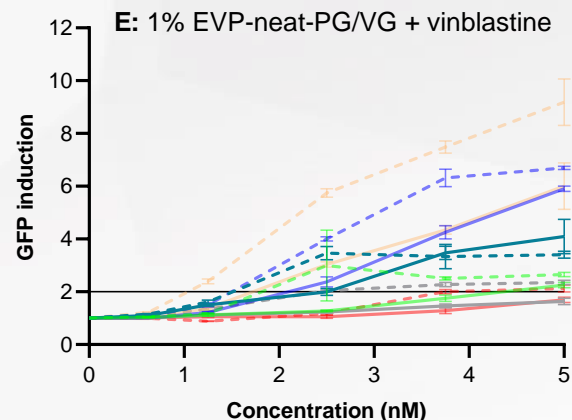
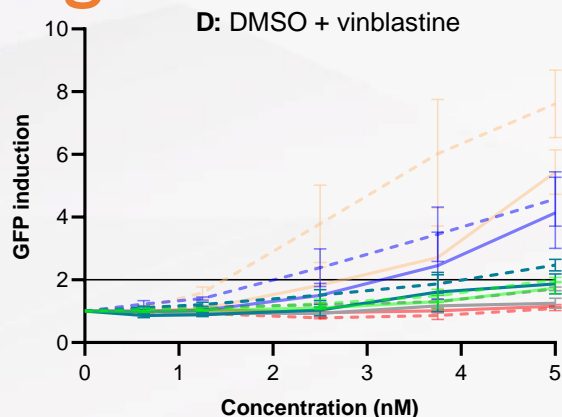
Czekala *et al.*, accepted for publication



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- No significant differences in the responses observed in each of the three vehicles
- Similar case with B[a]P and vinblastine

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| | --- Bcl2 +S9 |
| | --- Rtkn -S9 |
| | --- Rtkn +S9 |
| p53 activation | --- Btg2 -S9 |
| | --- Btg2 +S9 |
| Oxidative stress | --- Srxn1 -S9 |
| | --- Srxn1 +S9 |
| | --- Blvr -S9 |
| | --- Blvr +S9 |
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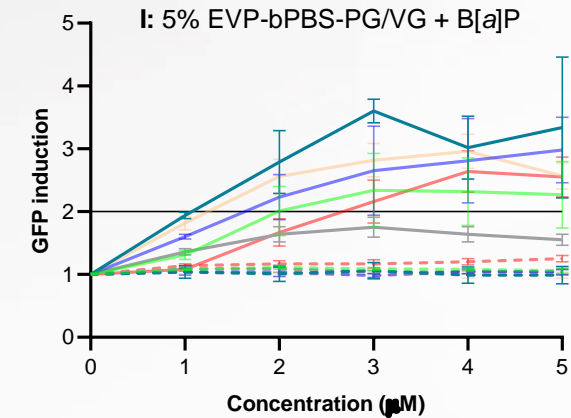
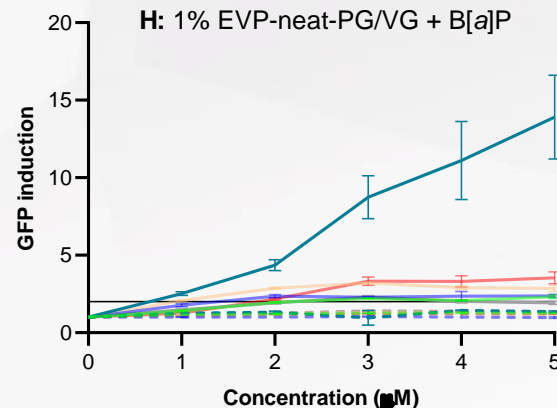
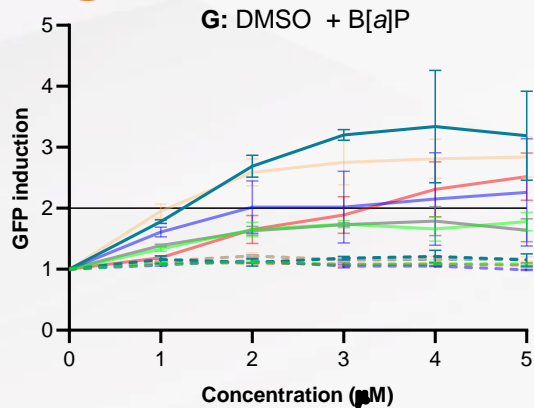
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 - + DMSO
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 - + 5% 1:1 PG:VG aerosol bPBS
- No significant differences in the responses observed in each of the three vehicles
- Similar case with B[a]P and vinblastine

Resorcinol:

- DNA damage
- Oxidative stress
- p53 related response

| Endpoint | Marker |
|----------------------|---------------|
| DNA damage | --- Bsc12 -S9 |
| | --- Bsc12 +S9 |
| | --- Rtkn -S9 |
| p53 activation | --- Btg2 -S9 |
| | --- Btg2 +S9 |
| Oxidative stress | --- Srtn1 -S9 |
| | --- Srtn1 +S9 |
| | --- Blvrb -S9 |
| Protein damage | --- Blvrb +S9 |
| | --- Ddit3 -S9 |
| | --- Ddit3 +S9 |
| — Positive induction | |

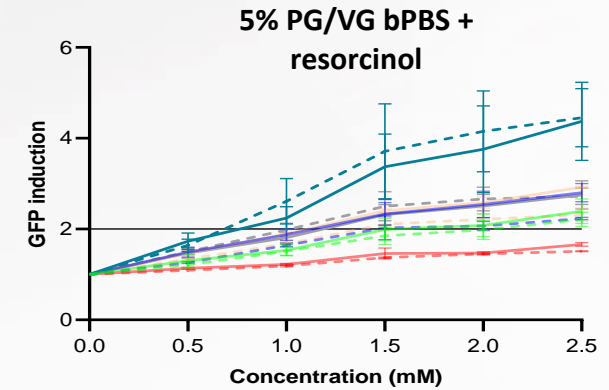
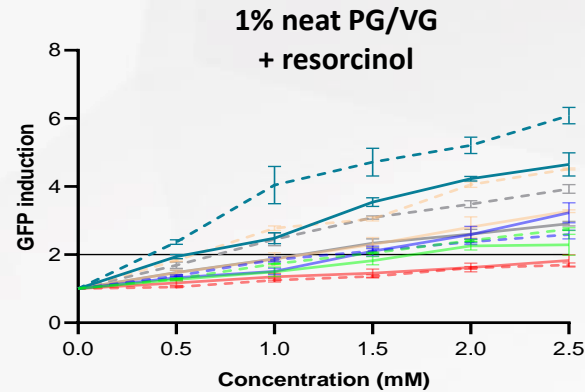
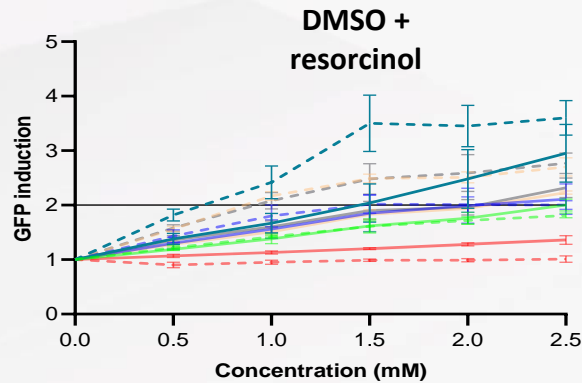
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Does PG/VG base liquid interfere with responses to additional ingredients?



- Positive control compounds (resorcinol, vinblastine, B[a]P) spiked into system to test for the effects of combination with PG/VG base e-liquid components
- Positive compounds added to cells
 - + DMSO
 - + 1% 1:1 neat PG:VG
 - + 5% 1:1 PG:VG aerosol bPBS
- No significant differences in the responses observed in each of the three vehicles
- Conclusion: The system still had sensitivity to positive control compounds in the presence of PG/VG samples → additional flavourings/ nicotine can be tested

Resorcinol:

- DNA damage
- Oxidative stress
- p53 related response

| Endpoint | Marker |
|----------------------|---------------|
| DNA damage | --- Bcl2 -S9 |
| | --- Bcl2 +S9 |
| | --- Rtkn -S9 |
| | --- Rtkn +S9 |
| p53 activation | --- Btg2 -S9 |
| | --- Btg2 +S9 |
| Oxidative stress | --- Srxn1 -S9 |
| | --- Srxn1 +S9 |
| | --- Blvr -S9 |
| | --- Blvr +S9 |
| Protein damage | --- Ddit3 -S9 |
| | --- Ddit3 +S9 |
| — Positive induction | |

Czekala *et al.*, accepted for publication



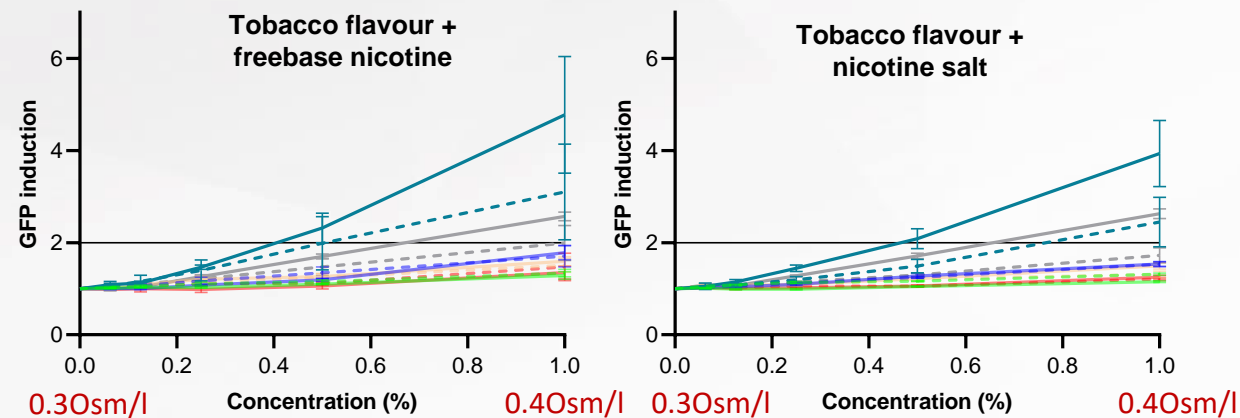
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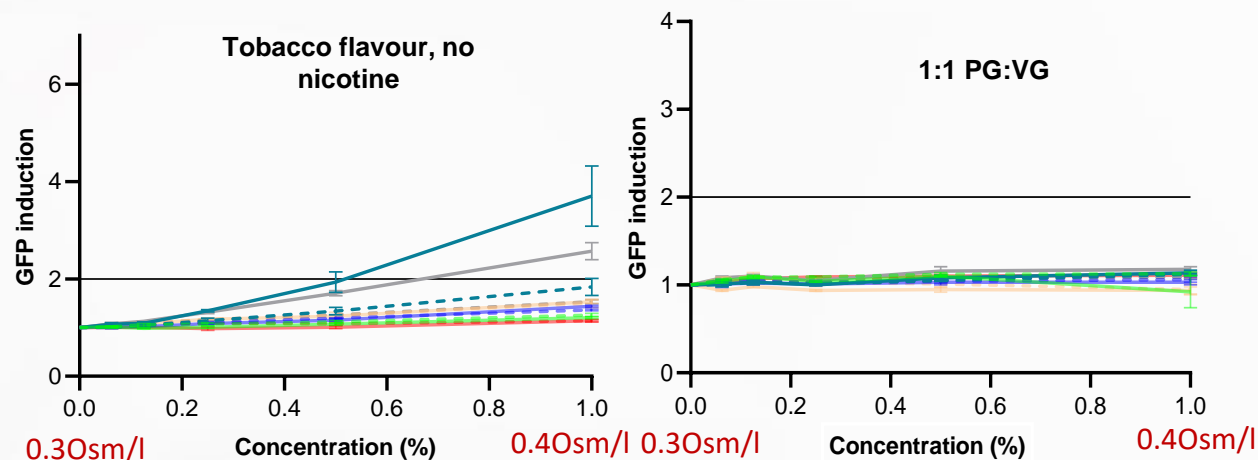
How does the system respond when screening neat e-liquids?

Oxidative stress response at higher concentrations of (tobacco) flavoured e-liquids tested

| Endpoint | Marker |
|----------------------|---------------|
| DNA damage | --- Bsc12 -S9 |
| | — Bsc12 +S9 |
| | --- Rtkn -S9 |
| | — Rtkn +S9 |
| p53 activation | --- Btg2 -S9 |
| | — Btg2 +S9 |
| Oxidative stress | --- Srxn1 -S9 |
| | — Srxn1 +S9 |
| | --- Blvrb -S9 |
| | — Blvrb +S9 |
| Protein damage | --- Ddit3 -S9 |
| | — Ddit3 +S9 |
| — Positive induction | |



- Common, published effect (Czekala *et al.*, 2019).
- Oxidative stress possibly due to artificial effects of e-liquids on osmolarity *in vitro* – PG and VG are hygroscopic
- Osmolality effect already a consideration in OECD guidelines
- In conclusion, from medium osmolarity measurement, neat e-liquids can be tested up to 1% to avoid effects of increasing osmolarity



Czekala *et al.*, accepted for publication



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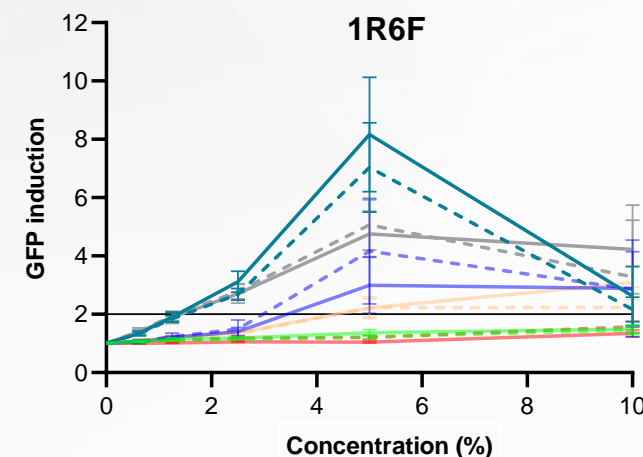
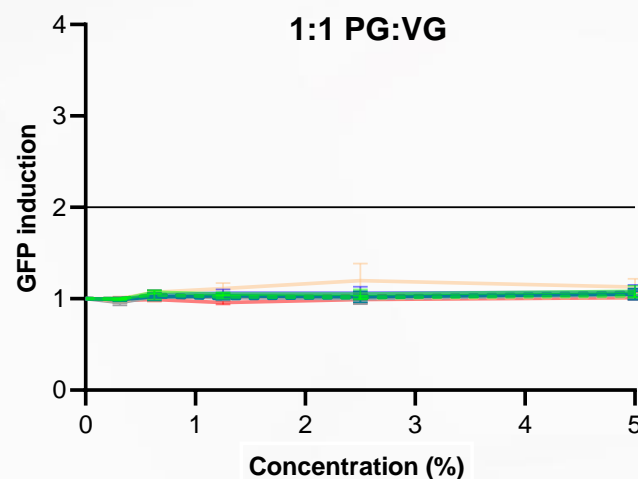
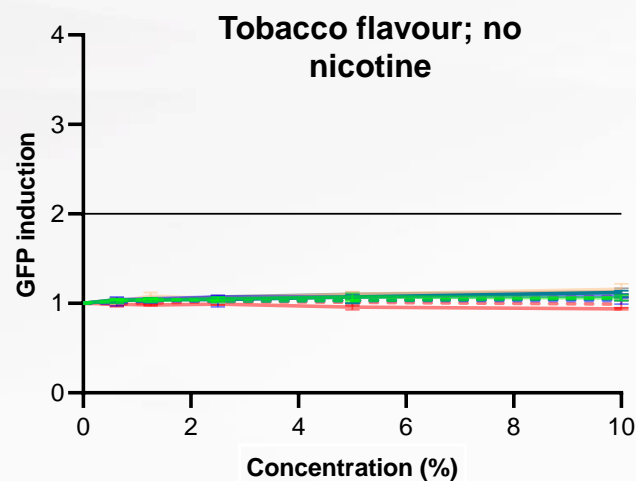
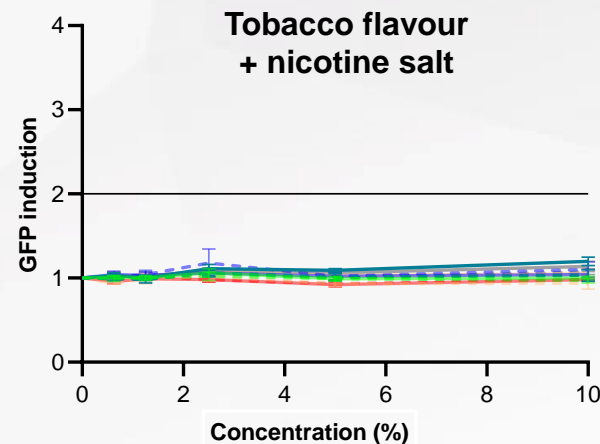
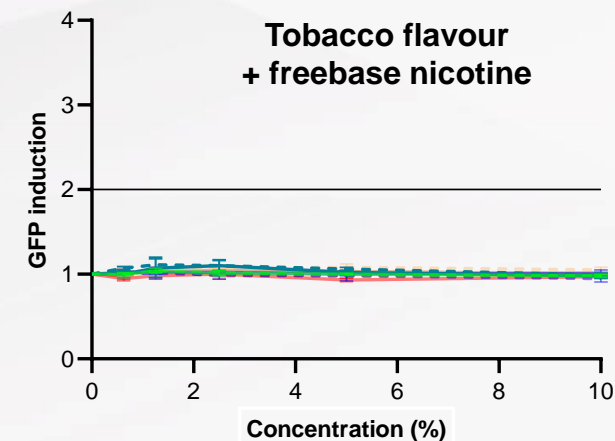
Confirmation of smoke/ aerosol trapping in PBS

- The next samples to be tested, PBS-trapped smoke/ aerosol, were analysed to confirm trapping of constituents
 - Nicotine
 - 8 carbonyls, found on regulator HPHC lists

| <i>Analyte</i> | <i>1R6F-bPBS</i> | | <i>EVP-bPBS-TF</i> | | <i>EVP-bPBS-FB-TF</i> | | <i>EVP-bPBS-NS-TF</i> | | <i>EVP-bPBS-1:1 PG:VG</i> | |
|-------------------------|------------------|----------------|--------------------|----------------|-----------------------|----------------|-----------------------|----------------|-------------------------------|----------------|
| | <i>µg/ml</i> | <i>µg/puff</i> | <i>µg/ml</i> | <i>µg/puff</i> | <i>µg/ml</i> | <i>µg/puff</i> | <i>µg/ml</i> | <i>µg/puff</i> | <i>µg/ml</i> | <i>µg/puff</i> |
| <i>Nicotine</i> | 112.2 | 62.33 | <1 | <0.25 | 167 | 41.75 | 187 | 46.75 | <1 | <0.25 |
| <i>Formaldehyde</i> | 9.7 | 5.39 | 4.4 | 1.10 | 2.1 | 0.53 | 9.0 | 2.25 | 2.3 | 0.58 |
| <i>Acetaldehyde</i> | 167.3 | 92.94 | <LOQ | <LOQ | <LOQ | <LOQ | 3.3 | 0.83 | <LOQ | <LOQ |
| <i>Acetone</i> | 19.5 | 10.83 | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ |
| <i>Acrolein</i> | 4.2 | 2.33 | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ |
| <i>Propionaldehyde</i> | 8.7 | 4.83 | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ |
| <i>Crotonaldehyde</i> | 4.4 | 2.44 | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ |
| <i>2-Butanone (MEK)</i> | 3.9 | 2.17 | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ |
| <i>n-Butyraldehyde</i> | 3.5 | 1.94 | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ | <LOQ |

- Nicotine levels in nicotine salt/ freebase nicotine EVP aerosols comparable to 1R6F smoke nicotine levels, indicating comparable nicotine delivery between the samples
- Increased formaldehyde in nicotine salt sample, compared to the other EVP samples, was observed, but this was still 2-fold lower per puff than in the 1R6F smoke bPBS

Effects of bPBS samples

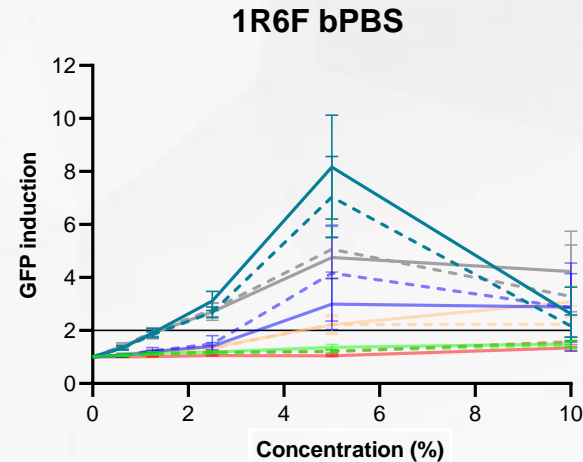
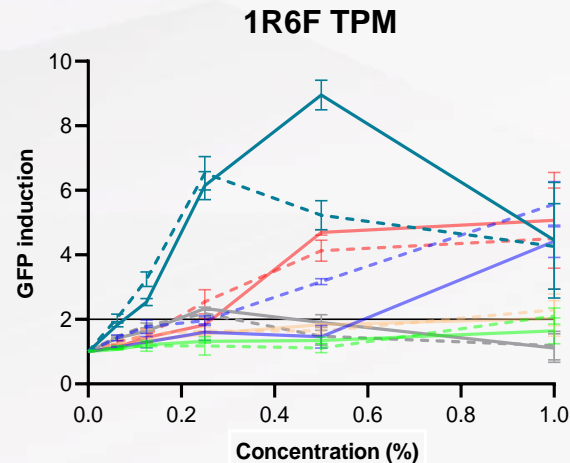


| Endpoint | Marker |
|----------------------|---------------|
| DNA damage | --- Bsc12 -S9 |
| | --- Bsc12 +S9 |
| | --- Rtkn -S9 |
| | --- Rtkn +S9 |
| p53 activation | --- Btg2 -S9 |
| | --- Btg2 +S9 |
| | --- Srxn1 -S9 |
| | --- Srxn1 +S9 |
| Oxidative stress | --- Blvr -S9 |
| | --- Blvr +S9 |
| | --- Ddit3 -S9 |
| | --- Ddit3 +S9 |
| — Positive induction | |

- Complex response to 1R6F bPBS – due to unknown chemical fraction, requires further compositional analysis
 - Oxidative stress
 - Direct DNA damage
 - p53-related response

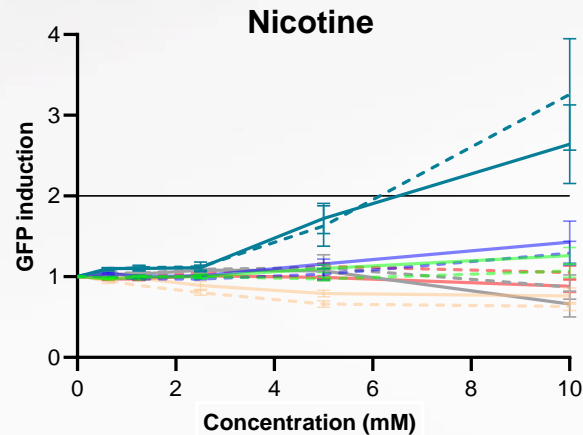
- On comparison with the 1R6F bPBS, the EVP bPBS samples did not cause cellular responses under the conditions of the test

ToxTracker Aneugen Clastogen Evaluation (ACE) assay extension



- 1R6F TPM
 - Oxidative stress
 - Direct DNA damage
 - Protein damage
 - p53 stress response

| Endpoint | Marker |
|----------------------|---------------|
| DNA damage | --- Bsc1 -S9 |
| | --- Bsc1 +S9 |
| | --- Rtn -S9 |
| | --- Rtn +S9 |
| p53 activation | --- Btg2 -S9 |
| | --- Btg2 +S9 |
| Oxidative stress | --- Srxn1 -S9 |
| | --- Srxn1 +S9 |
| | --- Blvr -S9 |
| | --- Blvr +S9 |
| Protein damage | --- Ddit3 -S9 |
| | --- Ddit3 +S9 |
| — Positive induction | |



- Nicotine induced oxidative stress reporter...
- ...only at a supraphysiological concentration, 10mM
- Up to 0.6 μ M nicotine in blood plasma, 10 μ M in saliva (Ginzkey *et al.*, 2014)

- Complex response to 1R6F samples, due to complex chemical mixture → further investigation with ACE extension
- Small changes to cell cycle were induced
- No changes to DNA content
- 1R6F samples not classed as aneugenic under the conditions of the assay



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Conclusions

- The ToxTracker assay can provide a quick indication of (geno)toxic mechanisms and has the potential to be incorporated fully into our assessment framework to supplement regulatory genotoxicity assay outcomes
 - PG/VG did not decrease cell sensitivity to the effects of additional components added to the cell system
 - Neat e-liquids can be tested up to a concentration of 1% with ToxTracker
 - bPBS is a suitable method of exposure in the assay
 - 1R6F smoke did not appear to have an aneugenic mode of action
 - Nicotine causes oxidative stress, only at a supraphysiological concentration
 - EVP and 1R6F smoke bPBS contained similar levels of nicotine
 - Responses to the nicotine-containing test articles in the assay not thought to be due to nicotine content
- Further evaluation of additional flavoured e-liquid derived samples, and characterisation of bPBS chemical content, is required

References

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Ginzkey, C., Steussloff, G., Koehler, C., Burghartz, M., Scherzed, A., Hackenberg, S., Hagen, R., Kleinsasser, N. (2014) Nicotine derived genotoxic effects in human primary parotid gland cells as assessed *in vitro* by comet assay, cytokinesis-block micronucleus test and chromosome aberrations test. *Toxicology In Vitro*, 28(5), 838-846.

Questions?

Thank you

Imperial Brands

Lukasz Czekała

Matthew Stevenson

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Kathryn Rudd

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Roman Wieczorek

Edgar Trelles Sticken

Jutta Pani

Lisa Bode

Toxys B.V.

Inger Brandsma

Remco Derr

Giel Hendriks

Nynke Moelijker